
PREVENTION OF MONEY LAUNDERING

ORGANISATION SHEET

Objective	<p>The objective of the seminar is to provide practical and managerial information about the origins of Compliance, the role of a Compliance Officer and the links with the Corporate Governance of companies in Europe.</p> <p>We will also inform the participants about the background and development with regard to the fight against Money Laundering and Terrorist Financing as well as the study of different business cases in different Insurance and Banking matters</p>
Methodology	<p>The seminar will be interactive: interaction between the consultant and the participants as well as between the participants themselves is a key element. Workshops and role-playing will be part of the program.</p> <p>As compliance is based on rules and regulations but also on common sense it has been proved that this interactive form of seminar leads to a high level learning process</p> <p>Access to internet during the seminar will be a must</p>
Target group	<p>Staff member of Banks with a minimum of 5 years experience in the following departments:</p> <ul style="list-style-type: none">- Audit or Internal Control- Anti-Money Laundering Unit- Compliance- Risk Management- Senior Management in AML or TF departments
Language	<p>English; the ability to understand and express in the English language is strongly recommended.</p>
Participants	<p>15 to maximum 25 participants</p>
Expert	<p>Mr Yves Ganseman Independent Director and Compliance Consultant</p>
Date	<p>3 working days 27-29 October 2014</p>



Skopje, 27-29 October, 2014

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CONTENT

DAY 1

- Origins of the Compliance
- Governance
- Famous financial scandals in the world
- History of Money Laundering
- History of Terrorist Financing
- International recommendations, judicial authorities and financial institutions
 - Wolfsberg Group
 - Basel Committee
 - Egmont Group
 - FIU
 - Gatekeepers
- Customer Due Diligence
- Enhanced and standard Due Diligences
- Case Studies (Workshops and role-playing)

DAY 2

- Moral commitment to fight Money Laundering
- Differences between Anti Money Laundering and Anti Terrorist Financing
- Evolution of the European and the Luxembourg legislation
- Local legislation in Macedonia
- Specific world legislation (FATCA, AML, ...)
- The risk based approach
- Corporate Governance
 - History
 - Principles
 - Different models
 - Control systems
- Different levels of controls by entity, by group
 - External Audit controls
 - Internal Audit controls
 - Compliance controls
 - Internal controls
- Case Studies (Workshops and role-playing)



DAY 3

- Build and perpetuate your compliance plan
 - Role of a Chief Compliance Officer
 - Organisation of a compliance department
 - Drafting of a compliance plan
 - Drafting of procedures and policies
 - Elaboration of a compliance chart
 - Update of the group's internet site (notion of complaints)
- Elaboration of a training plan
- Tools and techniques of investigation
- Where can you find help in the compliance area ?
- Case Studies (Workshops and role-playing)