



MiFID II: Framework Overview

ORGANISATION SHEET

Objectives	<ul style="list-style-type: none">➤ To learn what investment firms and other in scope stakeholders should do to comply with MiFID II / MiFIR➤ To understand the concepts behind investors protection and securities markets organisation/structure in the European Union➤ To get acquainted with the main requirements and obligations stemming from MiFID II and its sister Regulation MiFIR
Methodology	Webinar
Audience	The persons concerned by the Training Programme are all persons placed under the authority of a professional (or acting on his behalf) supervised by the CSSF, i.e. individuals providing investment services or carrying out investments activities including ancillary ones in financial instruments
Expert	<p>Caroline Michel is Director and founding member of Deontea Ltd. She leads the analysts' team. She brings with her over 28 years' experience in financial markets. She is a highly experienced regulatory affairs specialist, very knowledgeable in all aspects of compliance matters (e.g. AML/ABC, Internal Controls, Capital Markets, Governance, Operational and Advisory compliance) with a demonstrated history of working in the financial services industry including Private Wealth Management, Wholesale banking and Asset Management across Europe.</p> <p>After leaving financial markets in 2005, she held various positions in the field of compliance in Europe and Switzerland, including within supervisory authorities. She worked several years for the Financial Conduct Authority (the "FCA") within the Wholesale Policy Department as a Technical Specialist, she also collaborated with the supervision and authorisation departments. Among other she contributed to the establishment MiFID II and worked on several other regulatory frameworks in close collaboration ESMA, the European Commission and various other national competent authorities.</p> <p>Caroline holds a Master degree in economics and a post-graduate diploma in Compliance Management. She is a lecturer at the House of Training in Luxembourg since early 2018</p>
Language	A good command of English is required
Participants	Maximum 15 participants
Place, duration,	Virtual classroom for Macedonian bankers 1 session of 4 hours



dates

20 January 2021
09.00 – 13.00

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CONTENT

This introductory session aims at familiarising the participants with the MiFID II framework that organises securities dealings within the EU, its origins, legal background as well as the key topics addressed.. The training session further explains the founding reasons behind MiFID II along with the measures, systems and controls that have to be adopted to meet the MiFID II requirements.

Remark: By delivery date, any training documentation shall be subject to regular reviews and updates amending the table of content as described herein.

One of the core values of the House of Training is pragmatism; consequently trainings provided are: practical, current, modular and targeted.

Banks, financial institutions and professionals from all disciplines and countries are facing one common challenge, which is to work together to improve the quality of services provided to their clients while reducing costs despite an extremely demanding and complex regulatory framework increasingly subject to strict implementation and oversight from National Competent Authorities and the growing use of technology.

In order to face the challenge of delivering fully-adapted training programs, the House of Training uses a quality management method called "Quality Circles", bringing together professionals and practitioners from the financial sector with shared goals, philosophy and passion for learning. Our quality circles have an intimate knowledge of the industry real needs and challenges faced. They collaborate actively with the House of Training to integrate this understanding into our programs.