



Virtual interactive training course
ANTI-MONEY LAUNDERING & COUNTER-TERRORIST FINANCING
(AML & CTF) FOUNDATION LEVEL
ORGANISATION SHEET

Objectives

- To learn:
 - Overview of European standards and of Luxembourg AML/CTF laws and regulations
 - Stages and methods of money laundering
- To develop knowledge / create awareness of:
 - Money laundering techniques
 - Internal organisation to reduce the risk of laundering and terrorist financing
 - Key roles and responsibilities of all actors involved
- To understand the concepts of simplified, normal and enhanced due diligence
- To get acquainted with AML best practices in Luxembourg (procedures and supporting tools).

Methodology

This course is NOT a webinar but an interactive programme. Participants are encouraged to be engaged in the sessions and to this end to have their webcam on and a well-functioning microphone.

Interactive seminar combining lectures, case studies and exchanges of views and experience.

Audience

Bankers located in North Macedonia

Commercial bankers and financial institutions employees:

- AML and Compliance Officers of banks and financial institutions
- Relationship Managers in contact with the customers, Risk Managers and Internal Auditors interested in learning more about AML

Employees from central banks and supervisory authorities:

- Junior Officers dealing with these matters at supervisory level

Expert

Liévin TSHIKALI, Lawyer by training, Mr. Liévin Tshikali is an international Chief Risk&Compliance Officer, lecturer on regulatory matters with in depth skills in international and European aspects of banking and financial regulation, corporate governance, risk management, securities instruments and money laundering matters. He is fully conversant with Corporate Governance practices, Corruption investigations, AIFMD, MiFID II, EMIR, FATCA, CRS, GDPR, MIFID and Risk Management regulations with a robust track record of accomplishment in:

- managing legal, compliance and operational risks teams within non-regulated and regulated financial institutions;
- developing Compliance monitoring framework and rolling it in all operating jurisdictions;



- conducting on-going AML/CFT assessments and investigations.

Articulate communication skills with the ability to communicate internally and externally with robust commercial and diplomatic acumen. Native French, proficient English and Swahili with intermediary level in Dutch.

Language

A good command of English is required

Participants

Maximum 16 participants

- MLRO, Compliance officers with or without experience, internal auditors, managers and bankers willing to improve their knowledge anti-money laundering frameworks or the latest regulations and international initiatives.
- Participants may also be regulators, central bankers and public administrators involved in AML/CFT policies.

**Duration,
Dates & Time**

6 sessions of 4 hours
From Friday May 14th until Friday May 21st 2021
9.00 – 13.00

**Technical
requirements**

In order to join the course participants are requested to have:

- a stable internet connection
- a device (preferably a PC) with well-functioning microphone and webcam (mandatory in order to be able to interact with the trainer and their peers).

Platform

WEBEX

Attendees must have working microphones and a webcam is mandatory in order to get the most from the workshop.



**ANTI-MONEY LAUNDERING & COUNTER-TERRORIST FINANCING
(AML & CTF) FOUNDATION LEVEL
VIRTUAL CLASSROOM**

CONTENT

Definition of Money Laundering & Terrorism Financing:

1. ML steps
2. TF funding

The fight against ML & TF at international level:

1. FATF
2. Other international bodies
3. EU directives

The role of the financial institutions in the fight against ML & TF

The professional obligations of the financial institutions:

The obligation to customer due diligence measures

1. Identification of customer
2. Identification of beneficial owner
3. Identification of the relationship
4. Monitoring of the transaction
5. Enhanced & simplified due diligence
6. Reliance on third parties

The obligation to cooperate with the authorities

1. Cooperation on demand
2. Cooperation on own initiative
3. Transmission of information
4. Prohibition against informing the customer
5. Prohibition against carrying out a suspect transaction

Embargo & sanctions regulations in the World (UN, OFAC, EU, HMT etc.) –

How to handle sanctions

Best practices for managing detailed sectoral sanctions

Remark: By delivery date, any training documentation shall be subject to regular reviews and updates amending the table of content as described herein.

One of the core values of the House of Training is pragmatism, the training it provides is therefore: practical, current, modular and targeted.

Bank and finance professionals from all disciplines are facing one international challenge in particular, which is to work together to improve the quality of services while reducing costs, within a framework that is increasingly subject to strict regulations and the use of technology.

In order to face the challenge of delivering fully-adapted training programmes, the House of Training uses a quality management method that it calls "Quality Circles", that bring together professionals and practitioners from the financial sector with shared goals, philosophy and passion for learning. Our quality circles have an intimate knowledge of the real needs in the industry and collaborate actively with the House of Training to integrate this understanding into our programmes.