



MULTINATIONAL SEMINAR

Preparation Course to the CAMS® Certified Global Sanctions Specialist  
(CGSS) Examination

ONLINE PROGRAMME

Programme

<p><b>Introduction &amp; Objectives</b></p>	<p>CGSS® is an ACAMS® certification that equips an organisation’s workforce with a credential that represents a serious commitment to compliance with sanctions. CGSS® is global, allowing multinational institutions to apply the same programme strategically and uniformly for all global employees.</p> <p>This 5-day training programme teaches the crucial sanctions principles all compliance staff should understand. It will be of value to those new to sanctions compliance, those looking for a refresher, and those in frontline sanctions positions, teaching skills that benefit both new and experienced professionals, especially as regulator and market expectations around sanctions continue to increase.</p> <p>By the end of the courses participants will understand the principles of:</p> <ul style="list-style-type: none"> <li>• Governance and enforcement</li> <li>• Sanctions related to evasion techniques</li> <li>• Sanctions related to Due Diligence</li> <li>• Sanctions related to screening</li> <li>• Sanctions related to investigations and asset freezing</li> </ul>
<p><b>Experts</b></p>	<p>The different units of the CGSS® programme will be delivered by senior experts with an in-depth experience in the respective fields of activity and willing to share their expertise:</p> <p><b>Mr Aurelio D’Amelio</b>, Conducting Officer in charge of Compliance, RC (MLRO) at Harmonic Fund Services Luxembourg S.A.</p> <p>Aurelio’s experiences concentrate on professionals of the financial sector, AIFMs and AIF, Fund Accounting and Transfer Agency, Domiciliary Services, branch of European Credit Institutions established in Luxembourg.</p> <p>Aurelio has 19+ years of experience as Chief Compliance Officer, Conducting Officer and MLRO for companies registered in Luxembourg under the supervision of the CSSF. Previously, he worked, since 2001 in the Banking retail sector and IT services in Italy, always covering internal control functions.</p> <p>Aurelio graduated as Certified AML specialist – Florida USA, holds a Master in International Finance Audit and Bank Control (I.F.B.L. &amp; PriceWaterhouseCoopers, Luxembourg) and a Post graduated Master Degree in Economics from the University of Calabria, (Italy)</p>

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**Mr. Jaime Prieto**, Lawyer (registered in Luxembourg), Chief Compliance Officer specialized in investor protection, data protection and professional secrecy, prevention of market abuse, prevention and management of conflicts of interest, fight against financial crime, Anti-Money Laundering and Counter Terrorism Financing.

Holding extensive professional experience in both public and private sectors including an in-depth knowledge of Anti-Money Laundering investigation, fraud investigations, client Identification, forensics and provision of legal training and advice.

Significant multijurisdictional expertise developing and applying policies, procedures, and monitoring programs.

Additionally, he is an experienced trainer for the EU, Luxembourg and some local organisations. He is part of the group of experts on the quality circle of the House of Training in the fields of Compliance and AML.

The preparation to the CAMS® exam session will be delivered by **Kenneth B. Simmons**, CAMS-Audit, CAMS-FCI, CRCM

EVP - Chief Compliance Officer for Origin Bank

Kenneth serves on the review board for the ACAMS- Advanced AML Audit and FCI Certification programs. He is a compliance/BSA professional serving the financial industry for over 30 years. Kenneth has also served as a Compliance/ BSA Specialist Examiner for the Office of the Comptroller Currency (OCC) and the Federal deposit Insurance Corporation (FDIC). During this tenure he served as an instructor for the OCC's Compliance Examination School and the Advanced BSA School for Examiners. Kenneth also served as the Functional Examiner-In-Charge for several Mid-size financial institutions. He has served as the General Auditor for a multi-billion-dollar financial institution; served as a Compliance Officer for smaller Community Banks; and V.P Compliance Officer for some of the country's largest financial institutions. Kenneth has spoken at industry conferences throughout his career and served as a panelist for the OCC, FNMA, Alabama Banker's Association - BSA conference, and ACAMS AML Conference. Kenneth has published a BSA-related white-paper entitled "MRA's - Learning from the mistakes of others".

**Mr Henrique Dominguez** is the Chief Compliance Officer (CCO) of Caixa Bank Wealth Management Luxembourg S.A. He is also responsible for the Money Laundering Reporting Officer function of the Branch.

Prior to moving to Luxembourg in 2008, Henrique was managing the Compliance department of Itau-Unibanco in Latin America. He also has a previous experience in the Internal Audit field as former Internal Audit Vice-President at EFG Bank Luxembourg, responsible for the audit of the European branches for several years.

Henrique holds a CAMS certification (Certified AML Specialist) since 2011. He is part of the group of experts on the quality circle of the House of Training in the fields of Compliance, AML and Corporate

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	<p>Governance providing Compliance and AML training in different countries. In addition, he has collaborated with ACAMS (Certified Anti-Money Laundering Association) since 2008, in several seminars and conferences in the AML subject.</p> <p><b>Mr Liévin Tshikali</b> is a Lawyer by training, international Chief Compliance Officer, lecturer on regulatory matters with in depth skills in international and European aspects of banking and financial regulation, corporate governance, risk management, securities instruments and money laundering matters.</p> <p>He is fully conversant with Corporate Governance practices, Corruption investigations, AIFMD, MiFID II, EMIR, FATCA, CRS, GDPR, MIFID and Risk Management regulations with a robust track record of accomplishment in:</p> <ul style="list-style-type: none"><li>• managing legal, compliance and operational risks teams within non-regulated and regulated financial institutions.</li><li>• developing Compliance monitoring framework and rolling it in all operating jurisdictions.</li><li>• conducting on-going AML/CFT assessments and investigations.</li></ul>
<p><b>Dates and Duration</b></p>	<p><u>Online course</u>: 5 full day sessions</p> <p><b>Edition 1: from 24 to 28 April 2023 from 9am to approximately 5pm CET.</b></p> <p><b>Edition 2: from 25 to 29 September 2023 from 9am to approximately 5pm CET.</b></p> <p>The times of each session may vary. There will be several breaks between the sessions and a longer break for lunch.</p> <p><u>When you apply to this programme it is imperative that you have availability to follow ALL modules and to be actively present all the time.</u></p> <p>Participants should act as if they were in Luxembourg, away from the office. Therefore, we recommend that they completely block their agenda for this week from the moment they send their application forms.</p>
<p><b>Examination</b></p> <p><b>Hosted by ACAMS®</b></p>	<p>At the end of this training programme, participants will sit the ACAMS official <b>3 ½ hour computer-based examination</b> to be CGSS® certified.</p> <p>To be able to sit the exam, selected participants will be invited by ACAMS to <b>book the exam directly with ACAMS®</b> Selected participants will be able to choose the <b>date of the online exam</b> with the condition that it <b>takes place before May 26<sup>th</sup> 2023/ 31<sup>st</sup> October 2023</b>. It can be as early as the Monday following the course.</p> <p>We recommend candidates to sit the exam as close as possible to the end of the course as the knowledge will be fresh in their mind.</p>

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ACAMS® will send an email confirmation of registration for the exam and their certificate in case they succeed.

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## MULTINATIONAL SEMINAR

### Preparation Course to the CAMS® Certified Global Sanctions Specialist (CGSS) Examination - ONLINE PROGRAMME

#### CONTENT

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- **Introduction and study recommendations**
- **Chapter 1: Governance and enforcement**
  - o Introduction
  - o History of sanctions and purpose of sanctions
  - o Who imposes sanctions?
  - o Who is subject to sanctions?
  - o Sanction types
  - o Consequences for noncompliance
  - o Incorporating sanctions compliance into Compliance programmes, risk assessment, and employee training
- **Chapter 2: Sanctions evasion techniques**
  - o Evasion methods: common techniques
  - o Concealment of beneficial ownership
- **Chapter 3: Sanctions Due Diligence**
  - o Perform sanctions Due Diligence
- **Chapter 4: Sanctions screening**
  - o Screening targets
  - o Name screening vs. payment screening information technology
  - o Regulatory matters
  - o Name screening
  - o Payment screening
  - o Solving name and payment screening challenges
  - o Trade activity screening
- **Chapter 5: Sanctions investigations and assets freezing**
  - o Conducting investigations
  - o Reviewing relevant sources of information
  - o Identifying and blocking (or freezing) assets
- **Chapter 6: Glossary of terms**
- **Chapter 7: Review questions**
- **Chapter 8: Guidance documents and reference material**

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